

COMPLIANCE PROGRAMME REPORT

Per §58 (11)(b) of Act No. 251/2012 Coll. on Energy and on amendment of certain acts, a person must be appointed to ensure compliance on the part of the transmission system operator (compliance officer) to monitor fulfilment of the program.

Eustream maintained a compliance program in 2016 that primarily concerned:

- ⊙ activities to ensure the non-discriminatory conduct and independence of the transmission system operator,
- ⊙ specific obligations of employees and members of management and supervisory bodies of the transmission system operator focused on fulfilling the purpose of the compliance program.

The transmission system operator submitted the draft compliance program to the Regulatory Office for approval, which approved the program on 18 June 2013 and the program entered into force on the same day. Eustream then took all actions needed to ensure adherence to the compliance program and monitoring of its fulfilment.

The transmission system operator provided the compliance officer with the cooperation needed to conduct its rights and obligations and ensured that other persons via which the transmission system operator conducts its activities provided the same level of cooperation. The compliance officer was given the right to participate in meetings of the board of directors as the statutory body of the transmission system operator and meetings of the supervisory commission, meetings of the transmission system operator's general meeting and other bodies of the transmission system operator per the Commercial Code, the right to access records and documents involving the activities of the transmission system operator and the ability to provide all information needed to fulfil its obligations as the person obliged to ensure compliance as well as access to the transmission system operator's registered office and its facilities without prior notice.

In 2016, the compliance officer:

- ⊙ did not determine any serious violations of the compliance program on the part of the transmission system operator,
- ⊙ did not identify any actions by any entities included in the same vertically integrated gas enterprise as the transmission system operator or that directly or indirectly exercise control over any entities included in the same vertically integrated gas enterprise as the transmission system operator at the general meeting or by a member of the supervisory commission at the meetings of the supervisory commission of the transmission system operator that would have prevented the transmission system operator from completing investments in the next three years per the ten-year system development plan.

Milan Sedláček
Compliance Officer